

# UNO METALS LTD

CIN – L27209 WB 1984 PLC 038126

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email: uno@investingjoy.com, unometals100@gmail.com website: www.investingjoy.com

1<sup>st</sup> Floor, 37A Southern Avenue, Kolkata – 700 029 (Renamed as Dr. Meghnad Saha Sarani)

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**April 17, 2020**

To  
The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700001

**Scripe Code: 10031188**

**Dear Sir/madam,**

**Sub: Shareholding Pattern under Regulation 31(1) (b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Please find enclosed herewith shareholding pattern of the Company under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the fourth quarter ended 31<sup>st</sup> March, 2020.

This is for your information and records.

Thanking you  
Yours' faithfully  
For UNO Metals Limited

Sd/-  
Ritu Goenka  
Whole-time Director  
DIN: 00221995  
Contact: 80175 20040  
Encl: A/a

NB: Due to limited resources available at home, including letter heads, printers, scanner etc. unsigned letter is being attached.

Name of Listed Equity: UNO METALS LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 31/03/2020

Particulars	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
								Class X	Class Y	Total			Number	As a % of total shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)	(A+B+C2)				(X)	(XI) = (VII) + (X)	(A) + (B) + (C)		
(A)	Promoter & Promoter Group	8	3512645			3512645	74.862	3512645	3512645	74.862	74.862					3512645
(B)	Public	318	1179500			1179500	25.138	1179500	1179500	25.138	25.138					1065000
(C)	Non Promoter - Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
<b>TOTAL</b>		<b>326</b>	<b>4692145</b>			<b>4692145</b>	<b>100.000</b>	<b>4692145</b>	<b>4692145</b>	<b>100.000</b>	<b>100.000</b>					<b>4577645</b>

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shares	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding (including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=	(IV)+(V)+(VI)	(VIII)								
(1) Indian																
(a) Individuals/Hindu undivided Family			8	3512645			3512645	74.862	3512645		3512645	74.862			3512645	
	ASHOK KUMAR GOENKA	ADOPG1436B		1000			1000	0.021	1000		1000	0.021			1000	
	KRISHNI DEVI GOENKA	ADPPG1236L		230000			230000	4.902	230000		230000	4.902			230000	
	NAKUL GOENKA	AITPG1859Q		1000			1000	0.021	1000		1000	0.021			1000	
	NEHA GOENKA	AMJPG6300Q		400000			400000	8.525	400000		400000	8.525			400000	
	FARMESHWAR LAL GOENKA	ADVPG5935A		51000			51000	1.087	51000		51000	1.087			51000	
	RAJESH GOENKA	ADOPG1095D		1259645			1259645	26.846	1259645		1259645	26.846			1259645	
	RITU GOENKA	AEEFG0062J		1100000			1100000	23.443	1100000		1100000	23.443			1100000	
	VARSHA GOENKA	AMJPG6301R		470000			470000	10.017	470000		470000	10.017			470000	
(b) Central Government/State Government(s)																
(c) Financial Institutions/Banks																
(d) Any Other Bodies Corporate																
(e) Any Other Trusts																
(f) Clearing Members																
Sub-Total (A) (1)			8	3512645			3512645	74.862	3512645		3512645	74.862			3512645	
(2) Foreign																
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																
(b) Government																
(c) Institutions																
(d) Foreign Portfolio Investor																
(e) Any Other OCBS																
Sub-Total (A) (2)																
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)			8	3512645			3512645	74.862	3512645		3512645	74.862			3512645	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 [As a % of (A+B+C2)]	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying securities (Including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of Equity Shares Held in demat form (XIV)	
									No. of Voting Rights					Total as a % of total voting Rights	Number	As a % of total shares held	Number		As a % of total shares held
									Class X	Class Y	Total								
(1)	Institutions						(VII)=												
(a)	Mutual Funds						(IV)+(V)+(VI)	(VIII)											
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions/Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/Pension Funds																		
(i)	Any Other Foreign Institutional Investors																		
	QFI - Corporate																		
	Sub-Total (B) (1)																		
(2)	Central Government/State Government(s)/President of India																		
	Sub-Total (B) (2)																		
(3)	Non-Institutions																		
(a)	Individuals																		
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		269	109900			109900	2.342	109900	109900	2.342								
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		8	1065000			1065000	22.698	1065000	1065000	22.698							1065000	
	ANIL AGARWAL	AAIPA3120B		90000			90000	1.918	90000	90000	1.918							90000	
	ANOOP V K SHROFF	AJNPS2309E		184000			184000	3.921	184000	184000	3.921							184000	
	LALITHA SHROFF	AIQPS8078N		230000			230000	4.902	230000	230000	4.902							230000	
	MAHENDRA KUMAR PODDAR	AAIPP3239J		90000			90000	1.918	90000	90000	1.918							90000	
	RACHANA JAIN	AHXPS3891B		161000			161000	3.431	161000	161000	3.431							161000	
	SHIV BHAGWAN PODDAR	AAAPP7633E		50000			50000	1.066	50000	50000	1.066							50000	
	USHA S PODDAR	AAAPP7626D		90000			90000	1.918	90000	90000	1.918							90000	
	VIJAYA KUMAR SHROFF	AIQPS8079P		170000			170000	3.623	170000	170000	3.623							170000	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositors (holding DRs) (balancing figure)																		
(e)	Any Other																		
	Bodies Corporate		41	4600			4600	0.098	4600	4600	0.098								
	QFI - Individual																		
	NRI - Repatriable																		
	NRI - Non-Repatriable																		
	OCBs																		
	Trusts																		
	Clearing Members																		
	IEPF Authority																		

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)				
									No. of Voting Rights								Total as a % of total voting Rights	Warrants (X)	Number of total shares held	Number of total shares held
									Class X	Class Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)	(A+B+C2)				(A)+(B)+(C)								
Sub-Total (B) (3)			318	1179500		1179500	25.138	1179500	1179500	25.138	25.138			1065000						
Total Public Shareholding (B) = (B) (1) + (B) (2) + (B) (3)			318	1179500		1179500	25.138	1179500	1179500	25.138	25.138			1065000						

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of Equity Shares Held in demat form (XIV)	
									No. of Voting Rights					Total as a % of total voting Rights	Number	As a % of total shares held	Number		As a % of total shares held
									Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) + (V) + (VI)	(VIII)	(A+B+C2)				(X)	(XI) = (VII) + (X)	(A) + (B) + (C)					

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C) = (C) (1) + (C) (2)

