

Name of Listed Equity: UNO METALS LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2016

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Particulars	Yes No
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1 Whether the Listed Equity has issued any Partly Paid up shares?	No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?	No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?	No
4 Whether the Listed Equity has any shares in Locked-in?	No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?	No
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TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held (VII) = (IV)+(V)+(VI)	Shareholding Calculated as per SCRB, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding securities (including Warrants) (X)	Shareholding as % assuming full conversion of securities (convertible securities as a % of diluted share capital) (XI)-(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)	
								Class X	Class Y	Total						
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	Total as a % of total voting Rights	(X)	(XI)-(VII)+(X)	(XII)	(XIII)	(XIV)
(A)	Promoter & Promoter Group	9	3509545			3509545	74.796	3509545		3509545	74.796		74.796			3509545
(B)	Public	324	1182600			1182600	25.204	1182600		1182600	25.204		25.204			400000
(C)	Non Promoter - Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
<b>TOTAL</b>		<b>333</b>	<b>4692145</b>			<b>4692145</b>	<b>100.000</b>	<b>4692145</b>		<b>4692145</b>	<b>100.000</b>		<b>100.000</b>			<b>3909545</b>

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding (Calculated as per SCRB, 1957)	Number of Voting Rights held in each class of securities (IX)			No. of Shares outstanding (including Warrants) (X)	Shareholding % assuming full conversion of (XI)-(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(1)	Indian						(VII) = (IV)+(V)+(VI)	(VIII)								
(a)	Individuals/Hindu undivided Family		9	3509545			3509545	74.796	3509545	3509545	74.796	74.796			3509545	
	ASHOK KUMAR GOENKA	ADOPG1436B		192690			192690	4.107	192690	192690	4.107	4.107			192690	
	BANWARI LAL GOENKA	ADVPG5834C		230000			230000	4.902	230000	230000	4.902	4.902			230000	
	KRISHNI DEVI GOENKA	ADPPG1236L		230000			230000	4.902	230000	230000	4.902	4.902			230000	
	NAKUL GOENKA	AITPG1859Q		124540			124540	2.654	124540	124540	2.654	2.654			124540	
	NEHA GOENKA	AMJPG6300Q		248600			248600	5.298	248600	248600	5.298	5.298			248600	
	PARMESHWAR LAL GOENKA	ADVPG5935A		230000			230000	4.902	230000	230000	4.902	4.902			230000	
	RAJESH GOENKA	ADQPG1096D		967290			967290	20.615	967290	967290	20.615	20.615			967290	
	RITVI GOENKA	AREPG0062J		822025			822025	17.519	822025	822025	17.519	17.519			822025	
	VARSHA GOENKA	AMJPG6301R		464400			464400	9.897	464400	464400	9.897	9.897			464400	
(b)	Central Government/State Government(s)															
(c)	Financial Institutions/Banks															
(d)	Any Other Bodies Corporate															
	Trusts															
	Clearing Members															
	Sub-Total (A) (1)		9	3509545			3509545	74.796	3509545	3509545	74.796	74.796			3509545	
(2)	Foreign															
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)															
(b)	Government															
(c)	Institutions															
(d)	Foreign Portfolio Investor															
(e)	Any Other OCBs															
	Sub-Total (A) (2)															
	Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)		9	3509545			3509545	74.796	3509545	3509545	74.796	74.796			3509545	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held (VII) = (IV)+(V)+(VI)	Shareholding Calculated as per SCRB, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)-(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form (XIV)
									No. of Voting Rights							
									Class X	Class Y	Total					
(1)	Institutions															
(a)	Mutual Funds															
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions/Banks															
(g)	Insurance Companies															
(h)	Provident Funds/Pension Funds															
(i)	Any Other Foreign Institutional Investors QFI - Corporate															
Sub-Total (B) (1)																
(2)	Central Government/State Government(s)/President of India															
Sub-Total (B) (2)																
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		275	135900			135900	2.896	135900	135900	2.896	2.896				
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		8	1042100			1042100	22.209	1042100	1042100	22.209	22.209			400000	
	ANOO VK SHROFF	AJNPS2309E		183700			183700	3.915	183700	183700	3.915	3.915				
	BIMAL KUMAR GOENKA	AGXPG9045L		154500			154500	3.293	154500	154500	3.293	3.293				
	KOMAL GOENKA	AGFPG2991K		92900			92900	1.980	92900	92900	1.980	1.980				
	LALITHA SHROFF	AIQPS8078N		230000			230000	4.902	230000	230000	4.902	4.902			230000	
	RACHANA JAIN	ARKPS3891B		161000			161000	3.431	161000	161000	3.431	3.431				
	VIJAYAKUMAR SHROFF	AIQPS8079P		170000			170000	3.623	170000	170000	3.623	3.623			170000	
(b)	NBFCs registered with RBI															
(c)	Employee Trusts															
(d)	Overseas Depositors (holding DRs) (balancing figure)															
(e)	Any Other Bodies Corporate QFI - Individual NRI / OCBS Trusts Clearing Members		41	4600			4600	0.098	4600	4600	0.098	0.098				
Sub-Total (B) (3)			324	1182600			1182600	25.204	1182600	1182600	25.204	25.204			400000	
Total Public Shareholding (B)			324	1182600			1182600	25.204	1182600	1182600	25.204	25.204			400000	

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held (VII) = (IV)+(V)+(VI)	Shareholding Calculated as per SCRB, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of (XII)	Number of Locked in shares (XIII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat or physical form (XIV)
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)									

= (B) (1) + (B) (2) + (B) (3)

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding Calculated as per SCRB, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding securities (including Warrants) (X)	Shareholding as % assuming full conversion of (XII)	Number of Locked in shares (XIII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat form	
									Class X	Class Y	Total						Total as a % of total voting Rights
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)										

- (1) Custodian DR Holder
- (2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C) = (C) (1) + (C) (2)