

# UNO METALS LTD

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CIN – L27209 WB 1984 PLC 038126

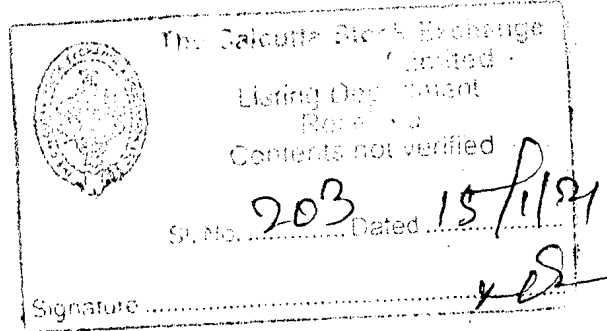
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1<sup>st</sup> Floor, 37A Southern Avenue, Kolkata – 700 029 (Renamed as Dr. Meghnad Saha Sarani)

January 15, 2021

To  
The Secretary  
The Calcutta Stock Exchange Ltd  
7, Lyons Range  
Kolkata – 700 001  
Scrip Code: 10031188



Dear Sir,

**Sub: Share holding pattern under Regulation 31 (1) ( b ) of SEBI (Listing Obligations & Disclosures Requirements) Regulations, 2015**

Please find enclosed herewith shareholding pattern of the Company under Regulation 31(1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the third quarter ended on 31<sup>st</sup> December, 2020.

This is for your information and record.

Thanking You,

Yours Faithfully,  
For Uno Metals Ltd

*Ritu Goenka*

Ritu Goenka  
Whole-time Director  
DIN: 00221995

Encl: as above

Notes: Pursuant to approval of scheme of consolidation (C.P. NO 494/KB/2018) by NCLT, Kolkata bench vide its order dated 10.02.2020, 115 shares of fractional shareholders whose shares are not consolidated into whole were allotted to UNO METALS LIMITED SHARE CONSOLIDATION TRUST. These shares are held by Mr. Rajesh Goenka jointly with Ms. Ritu Goenka as Trustee for and on behalf of Public Shareholders.

**Format of holding of specified securities**

**Annexure - I**

- 1 **Name of Listed Entity :UNO METALS LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 31/12/2020**
- 4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter	6	3627	0	0	3627	77.302	3627	0	3627	77.302	0	77.302	0	0.000	0	0.000	3627
(B)	Public	8	1065	0	0	1065	22.698	1065	0	1065	22.698	0	22.698	0	0.000	0	0.000	1065
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>14</b>	<b>4692</b>	<b>0</b>		<b>4692</b>	<b>100.000</b>	<b>4692</b>	<b>0</b>	<b>4692</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>4692</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		6	3627	0	0	3627	77.302	3627	0	3627	77.302	0	0.000	0	0.000	0	0.000	3627
	ASHOK KUMAR GOENKA	ADOPG1436B	0	2	0	0	2	0.043	2	0	2	0.043	0	0.000	0	0.000	0	0.000	2
	KRISHNI DEVI GOENKA	ADPPG1236L	0	230	0	0	230	4.902	230	0	230	4.902	0	0.000	0	0.000	0	0.000	230
	NEHA GOENKA	AMJPG6300Q	0	400	0	0	400	8.525	400	0	400	8.525	0	0.000	0	0.000	0	0.000	400
	RAJESH GOENKA	ADQPG1096D	0	1425	0	0	1425	30.371	1425	0	1425	30.371	0	0.000	0	0.000	0	0.000	1425
	RITU GOENKA	AEEPG0062J	0	1100	0	0	1100	23.444	1100	0	1100	23.444	0	0.000	0	0.000	0	0.000	1100
	VARSHA GOENKA	AMJPG6301R	0	470	0	0	470	10.017	470	0	470	10.017	0	0.000	0	0.000	0	0.000	470
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		6	3627	0	0	3627	77.302	3627	0	3627	77.302	0	0.000	0	0.000	0	0.000	3627
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group A)=(A)(1)+(A)(2)</b>		<b>6</b>	<b>3627</b>	<b>0</b>	<b>0</b>	<b>3627</b>	<b>77.302</b>	<b>3627</b>	<b>0</b>	<b>3627</b>	<b>77.302</b>	<b>0</b>	<b>77.302</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>3627</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholder s (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		8	1065	0	0	1065	22.698	1065	0	1065	22.698	0	22.698	0	0.000	0	0.000	1065
	ANIL AGARWAL	AAIPA3120B	0	90	0	0	90	1.918	90	0	90	1.918	0	1.918	0	0.000	0	0.000	90
	ANOOPI VIJAYKUMAR SHROFF	AJNPS2309E	0	184	0	0	184	3.922	184	0	184	3.922	0	3.922	0	0.000	0	0.000	184
	LALITHA SHROFF	AIQPS8078N	0	230	0	0	230	4.902	230	0	230	4.902	0	4.902	0	0.000	0	0.000	230
	MAHENDRA KUMAR PODDAR	AAIPP3239J	0	90	0	0	90	1.918	90	0	90	1.918	0	1.918	0	0.000	0	0.000	90
	RACHANA JAIN	AHXP53891B	0	161	0	0	161	3.431	161	0	161	3.431	0	3.431	0	0.000	0	0.000	161
	SHIV BHAGWAN PODDAR	AAAPP7633E	0	50	0	0	50	1.066	50	0	50	1.066	0	1.066	0	0.000	0	0.000	50
	USHA PODDAR	AAAPP7626D	0	90	0	0	90	1.918	90	0	90	1.918	0	1.918	0	0.000	0	0.000	90
	VIJAYA KUMAR SHROFF	AIQPS8079P	0	170	0	0	170	3.623	170	0	170	3.623	0	3.623	0	0.000	0	0.000	170
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		8	1065	0	0	1065	22.698	1065	0	1065	22.698	0	22.698	0	0.000	0	0.000	1065
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>8</b>	<b>1065</b>	<b>0</b>	<b>0</b>	<b>1065</b>	<b>22.698</b>	<b>1065</b>	<b>0</b>	<b>1065</b>	<b>22.698</b>	<b>0</b>	<b>22.698</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1065</b>



