

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : UNO METALS LTD.
- 2 Scrip Code/Name of Scrip/Class of Security : 10031188/EQUITY SHARES
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter Ended 31/12/2015
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipt are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	8	3446545	0	0	3446545	73.454	3446545	0	3446545	73.454	0	73.454	0	0.000	0	0.000	3446545
(B)	Public	325	1245600	0	0	1245600	26.546	1245600	0	1245600	26.546	0	0.000	0	0.000	0	0.000	400000
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	333	4692145	0		4692145	100.000	4692145	0	4692145	100.000	0	100.000	0	0.000	0	0.000	3846545

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		7	2519745	0	0	2519745	53.701	2519745	0	2519745	53.701	0	53.701	0	0.000	0	0.000	2519745
	ASHOK KUMAR GOENKA	ADOPG1436B	0	192690	0	0	192690	4.107	192690	0	192690	4.107	0	4.107	0	0.000	0	0.000	192690
	NAKUL GOENKA	AITPG1859Q	0	124540	0	0	124540	2.654	124540	0	124540	2.654	0	2.654	0	0.000	0	0.000	124540
	NEHA GOENKA	AMJPG6300Q	0	248600	0	0	248600	5.298	248600	0	248600	5.298	0	5.298	0	0.000	0	0.000	248600
	NIKHIL GOENKA	AICPG8848G	0	57600	0	0	57600	1.228	57600	0	57600	1.228	0	1.228	0	0.000	0	0.000	57600
	RAJESH GOENKA	ADQPG1096D	0	967290	0	0	967290	20.615	967290	0	967290	20.615	0	20.615	0	0.000	0	0.000	967290
	RITU GOENKA	AEEPG0062J	0	701425	0	0	701425	14.949	701425	0	701425	14.949	0	14.949	0	0.000	0	0.000	701425
	VARSHA GOENKA	AMJPG6301R	0	227600	0	0	227600	4.851	227600	0	227600	4.851	0	4.851	0	0.000	0	0.000	227600
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		1	926800	0	0	926800	19.752	926800	0	926800	19.752	0	19.752	0	0.000	0	0.000	926800
	AKG FINVEST LTD	AADCA8306P	0	926800	0	0	926800	19.752	926800	0	926800	19.752	0	19.752	0	0.000	0	0.000	926800
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		8	3446545	0	0	3446545	73.454	3446545	0	3446545	73.454	0	73.454	0	0.000	0	0.000	3446545
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		8	3446545	0	0	3446545	73.454	3446545	0	3446545	73.454	0	73.454	0	0.000	0	0.000	3446545

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(a)	Mutual Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(b)	Venture Capital Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(c)	Alternate Investment Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(f)	Financial Institutions/Banks		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(g)	Insurance Companies		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(h)	Provident Funds/Pension Funds		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(i)	Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Foreign Institutional Investors		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	QFI - Corporate		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (B)(1)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (B)(2)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(3)	Non-Institutions		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(a)	Individuals		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		275	135900	0	0	135900	2.896	135900	0	135900	2.896	0	2.896	0	0.000	0	0.000	0
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		9	1105100	0	0	1105100	23.552	1105100	0	1105100	23.552	0	23.552	0	0.000	0	0.000	400000
	ANOOP VK SHROFF	AJNPS2309E	0	183700	0	0	183700	3.915	183700	0	183700	3.915	0	3.915	0	0.000	0	0.000	0
	BIMAL KUMAR GOENKA	AGXPG9045L	0	154500	0	0	154500	3.293	154500	0	154500	3.293	0	3.293	0	0.000	0	0.000	0
	KOMAL GOENKA	AGFPG2991K	0	92900	0	0	92900	1.980	92900	0	92900	1.980	0	1.980	0	0.000	0	0.000	0
	LALITHA SHROFF	AIQPS8078N	0	230000	0	0	230000	4.902	230000	0	230000	4.902	0	4.902	0	0.000	0	0.000	230000
	MANISH JALAN (KARTA OF HUF)		0	63000	0	0	63000	1.343	63000	0	63000	1.343	0	1.343	0	0.000	0	0.000	0
	RACHANA JAIN	AHXPS3891B	0	161000	0	0	161000	3.431	161000	0	161000	3.431	0	3.431	0	0.000	0	0.000	0
	VIJAYA KUMAR SHROFF	AIQPS8079P	0	170000	0	0	170000	3.623	170000	0	170000	3.623	0	3.623	0	0.000	0	0.000	170000
(b)	NBFCs registered with RBI		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(c)	Employee Trusts		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
(e)	Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Bodies Corporate		41	4600	0	0	4600	0.098	4600	0	4600	0.098	0	0.098	0	0.000	0	0.000	0
	QFI - Individual		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	NRI / OCBs		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Trusts		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Clearing Members		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (B)(3)		325	1245600	0	0	1245600	26.546	1245600	0	1245600	26.546	0	26.546	0	0.000	0	0.000	400000
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		325	1245600	0	0	1245600	26.546	1245600	0	1245600	26.546	0	26.546	0	0.000	0	0.000	400000

